

Report on the Conduct of the Election

Sydney State By-election

New South Wales Electoral Commission

The Hon Barry O'Farrell MP Premier Level 40 Governor Macquarie Tower 1 Farrer Place SYDNEY NSW 2000

Dear Premier

I am pleased to submit to you, for presentation to Parliament, my report on the results and administration of the State by-election held on 27 October 2012 for the Legislative Assembly District of Sydney.

Yours sincerely

Colin Barry Electoral Commissioner 20 May 2013

The New South Wales Electoral **Commission (NSWEC)**

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Foreword

This Report provides information on the conduct, administration and results of the by-election held in the Sydney District on 27 October 2012 following the resignation of the Honourable Clover Moore MP on 21 September 2012.

Conducting a State by-election poses different challenges from a State General Election. NSW State elections have a known fixed four year timetable with a completely different scale and complexity. While a byelection is a smaller scale event, typically there is less preparation time. The Writ for the calling of the Sydney By-election was issued on Monday, 8 October 2012. This gave the New South Wales Electoral Commission (NSWEC) three weeks to recruit staff, hire venues and make all necessary preparations. The by-election occurred just following the September 2012 Local Government Elections.

Once again iVote was provided as an early voting option and was used by 12.7% of electors who chose to vote before election day.

The participation and informality rates for the Sydney By-election were 62.6% participation and 2.8% informality. The participation rate was lower than other recent State by-elections.

The NSWEC provided the notional count results by 10.30pm on election night and declared the poll on the second day following election day. This was within the range seen in the earlier State by-elections. Delivering efficient, accurate and trusted elections according to electoral legislation relies heavily upon the capability of the NSWEC's staff. I thank my staff and the NSWEC's suppliers and contractors for their contribution and commitment to the successful conduct of the Sydney Byelection.

Colin Barry Electoral Commissioner

Overview

By-election Outcome

The candidate elected at the Sydney By-election on 27 October 2012 was Mr Alex Greenwich (Independent) with 21,283 votes.

By-election Summary

Total Electors61,428Electors enrolled or re- enrolled via SmartRoll5,751Polling BoothsPre- Polling Places33Polling Places23Election Officials181Voter participation37,395Informal votes1,062Total votes38,457Voter participation62.6%Formality rate97.2%Non-voting penalty notices issued20,468Penalty notices issued as % of Roll33.3%Voting options used by Electors0rdinaryOrdinary28,415Postal/iVote4,782Pre-poll/Declared Institution5,000Institution242Candidates5Female candidates1Male candidates4	Envolusiont	
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Male candidates 4	Female candidates	1
		4
Cost of By-election \$0.464m	Cost of By-election	\$0.464m
	*	

By-election Important Dates

3 October 2012	Candidate Seminar
8 October 2012	Issue of Writ
8 October 2012	Nominations Open
10 October	iVote registration
2012	opened
10 October	Close of Nominations
2012	for Registered Political
	Parties lodging forms
	with NSWEC Head
	Office (12.00 noon)
11 October	Issue of Authorised Roll
2012	
11 October	Close of Nominations
2012	for candidates
	lodging forms with
	Returning Officer
	(12.00 noon).
11 October	Registration of
2012	Electoral Material
15 0 - 4 - 15	(how-to-vote) opened
15 October	Pre-poll and iVote
2012 19 October	voting opened
2012	Close applications to
2012	register Electoral Material (how-to-vote)
	(5.00pm)
22 October	Close receipt of
2012	Overseas Postal Vote
2012	applications (6.00pm)
24 October	Close receipt of Postal
2012	Vote applications
	from within Australia
	(6.00pm)
27 October	Election Day
2012	(8.00am-6.00pm)
31 October	Close receipt of Postal
2012	Vote declarations
	(6.00pm)
1 November	Declaration of the Poll
2012	
7 November	Return of Writ
2012	
1 July to 23	Disclosures of political
September	donations and
2013	electoral expenditure
	due 30 June 2013

Innovations at the Sydney By-election



1. Internet and Telephone Voting (iVote) in Parliamentary By-elections

The amendments to the Parliamentary Electorates and Elections Act 1912 in December 2010 to provide iVote at State General elections also enabled internet and telephone voting (iVote) to be used in Parliamentary byelections. The Sydney By-election was the third by-election where iVote was offered.

The iVote service offered at the State Election in March 2011 and the 2011Clarence and 2012 Heffron Byelections was provided for the Sydney By-election with minor modifications based upon that experience and the feedback received. Both online and telephone voting were provided although the State Election demonstrated that of the two, online voting was the preferred and most utilised form.

The criteria applied to electors seeking to use iVote were the same as applied in the previously that is, it allowed electors to use iVote if they:

- had a visual impairment;
- had another disability or disabilities;
- were 20 kilometres or more from a polling place; or
- were outside NSW on election day.

The key dates for iVote in the Sydney By-election were:

- registration opened 10 October 2012;
- registration closed 6pm Wednesday, 24 October;
- voting opened 8am Monday, 13
 October, and closed 6pm Friday, 26 October.

The iVote Call Centre received twice as many calls as it did for the Heffron By-election held two months earlier in August 2012. During the registration period, the Call Centre received over 550 calls which related to electors who were not eligible to apply for iVote such as that they were outside their area on polling day but were not outside NSW. This amounted to 75.6% of total registration calls and reflects the interest in utilising iVote and its potential wider applicability.

iVote was not available on election day.

The NSWEC provided an online survey to ascertain electors' views of using iVote at the by-election. The responses were very positive with over three quarters of respondents (83.3%) very satisfied with the iVote service. 76.0% stating that voting took then five minutes or less to vote. 94.3% reported not experiencing any technical difficulties during voting. 98.0% reported that they would recommend iVote to other voters if it was made more available.

In addition to informing the NSWEC of electors' views, the results of the survey will be shared with other electoral jurisdictions.



2. Automatic Enrolment and Re-enrolment

The NSWEC has led the way nationally in increasing elector enrolment through automatic enrolment and reenrolment using data held by other NSW public sector agencies such as licensing authorities and the Registry of Births, Deaths and Marriages. The impact of the changes to the electoral roll had been tested at the Penrith Byelection in June 2010 and then the Clarence By-election in 2011. SmartRoll was implemented for the 2011 NSW State Election.

The NSWEC's aim was to ensure the most up-to-date and accurate electoral roll possible for the byelection. The Sydney By-election required a specific focus on those electors eligible to be but not currently on the roll for the Sydney District or who were incorrectly enrolled. As a result those electors who had moved into, out of, or within the district were targeted. Data from the NSW Roads and Maritime Services was supplemented with data from the Registry of Births, Deaths and Marriages and additionally citizenship information. This action lead to 6,996 newly enrolled or correctly re-enrolled electors for the Sydney District, or 11.4% of total electors in the Sydney District.

Background to the By-election

Sydney District Profile



The State Electoral District of Sydney includes the geographical area from Sydney Harbour in the north to Darley Road, Centennial Park in the south; and from Wattle Street, Ultimo in the west to Ocean Street, Woollahra in the east.

Area

42 square kilometres.

Postcodes

2000, 2001, 2007, 2008, 2009, 2010, 2011, 2016, 2021, 2025, 2027, 2033.

Local Government Areas within district

Parts of Randwick, Sydney and Woollahra.

Localities

This list is indicative only as the following suburbs may or may not be wholly included in the Sydney District: Centennial Park, Chippendale, Darlinghurst, Edgecliff, Elizabeth Bay, Kings Cross, Moore Park, Paddington, Potts Point, Pyrmont, Rushcutters Bay, Strawberry Hills, Surry Hills, Sydney, Ultimo.

Demographic Profile

The 2011 census revealed that the Sydney District had 107,127 as the number of usual residents (excluding overseas visitors) of which 47.1% were female (50,436) and 52.9% were male (56,691); 0.6% (688) were Indigenous people. The median age was 32 years compared with 37 years in Australia overall. 6.4% were children aged between 0-14 years, and 8.3% were people aged 65 year. 40.0% of people were born in Australia. The most common countries of birth after Australia were China (excludes Special Administrative Region and Taiwan) 5.6%, England 5.5%, New Zealand 3.3%, Thailand 2.9% and Indonesia 2.6%. English was the only language spoken at home by 54.9% with the most common other languages spoken at home being: Mandarin 5.4%, Cantonese 3.4%, Thai 2.8%, Indonesian 2.2% and Korean 1.9%.

Historical Electoral Representation

Previous State representation for the Sydney District (incorporating parts of the former District of Bligh) has been:

State Elections	Clover	Independent
1988 - 2012	Moore	

Services to Electors

Maximising Participation in the Sydney By-election

The NSWEC undertook an advertising and communication campaign to raise awareness of the Sydney Byelection and provide necessary information to electors and candidates. The major aspects of this strategy involved advertisements placed in State and local newspapers and provision of information via the NSWEC website and the Returning Officer. The NSWEC used a number of different strategies to increase participation in the Sydney Byelection. These strategies included the elector brochure and advertising in the press and notifications sent to electors by email and SMS.

Elector Brochure

An elector brochure was sent to each person on the electoral roll for the Sydney District. The brochure provided information on date of the election, the opening hours, locations and the accessibility of polling places, the mandatory nature of participation in the by-election, voting options if unable to vote on election day, instructions on how to vote formally, and how to get assistance for electors not confident in written English or unable to read the brochure for other reasons.

The Sydney By-election brochure also contained features enabling electors to subscribe to a Voting Reminder Service and facilitated voting by having a detachable section that could be used by election officials in the polling place for more efficient issuing of ballot papers. In total 61,039 brochures were provided at a cost of \$14,270.42 excluding postage. A copy of the brochure is provided at Appendix A.

Advertising

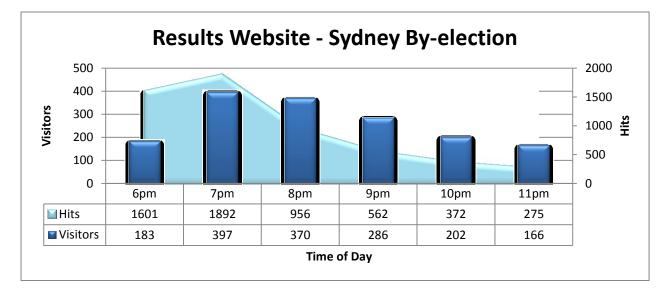
The Parliamentary Electorates and Elections Act 1912 prescribes that certain advertising must occur. The advertising strategy for the Sydney Byelection comprised seven separate components:

- Enrol to Vote
- Issue of Writ
- Candidate Information Seminar
- Register electoral material
- Pre-poll and postal voting
- Candidates and polling places
- Results

In addition, the Election Funding Authority placed 'registration of candidates' and 'political donations' advertisements. The schedule of advertising is provided in Appendix B and copies of the advertisements at Appendix C.

NSWEC Website

The NSWEC's website provided information that stakeholders generally, not just electors, needed to participate in the by-election. It provided information on the election timetable, employment opportunities, enrolment, polling places, candidate requirements, candidates and poll results. Use of the NSWEC's website is typically greatest on election night when users seek information on results. The following graph provides a picture of usage over the period from close of polling to midnight on election night for the Sydney By-election.



Graph 1: Sydney By-election Usage of the NSWEC Website for Poll Results, 27 October 2012

Elections.										
Vote Type	2012 Sy By-ele		2011 N State Ele Sydney	ection,	2011 NSW Electic All Elect Distric	on, oral	2007 N State Ele Sydn Distri	ection, iey	2007 NSW Electic All Elect Distric	on, oral
	No.	% Total	No.	% Total	No.	% Total	No.	% Total	No.	% Total
Ordinary	28,342	73.70	33,417	70.12	3,188,142	74.3	31,803	75.55	3,285,087	81.1
Postal	2,590	6.73	3,408	7.15	245,411	5.7	2,450	5.82	223,951	5.5
iVote	2,192	5.70	incl with Postal	n/a	46,862	1.1	n/a	n/a	n/a	n/a
Pre-poll	4,829	12.56	2,893	6.07	776,656	18.1	2,242	5.33	223,266	5.5
Declared Institutions	171	0.44	154	0.32	12,564	0.3	224	0.53	15,970	0.4
Section votes	18	0.05	244	0.51	20,960	0.5	72	0.17	5,714	0.1
Silent	73	0.19	incl with Section votes	n/a	incl with Section votes	n/a	incl with Section votes	n/a	incl with Section votes	n/a
Enrolment	242	0.63	413	0.87	n/a	n/a	n/a	n/a	n/a	n/a
Absent	N/A	N/A	7,131	14.96	409,035	9.5	5,303	12.60	298,135	7.4
TOTAL	38,457	100	47,660	100	4,290,595	100	42,094	100	4,052,123	100

Table 1: Voting Methods Sydney District, 2012 By-election and 2011 and 2007 State General	
Elections.	



This reminder service provided electors the opportunity to request to be kept informed of electoral activities and information by SMS messaging or email. This service commenced with the Penrith By-election in 2010.

In the Sydney By-election notifications were sent to electors by email to 383 electors and by SMS to 330 electors. These electors had subscribed to the notification service and provided preferred contact details. This service was in place for the NSW State Election in 2011.

Service Standards

The NSWEC seeks to meet the expectations of electors of its electoral services and to this end has developed a Service Charter to outline the NSWEC's election service standards. The 2011 NSW State Election Service Commitments Charter was maintained as the overall service standard for this by-election.

Culturally and Linguistically Diverse Electors

Instructions for Voting at a State By-Election were produced in English, Chinese, Thai, Indonesian and Korean. These information sheets were provided to each polling place and were also put up on the website. "I speak [language]" stickers were also produced in each of these languages and provided to the Returning Officer and Polling Place Managers for bilingual staff to wear.

A handout was produced for the Returning Officer and Polling Place Managers providing statistical information about the languages spoken in the Sydney area and the resources that were being provided to assist electors.

Access and Polling Places

The NSWEC sought to provide the most accessible and appropriately sited polling places possible for the Sydney By-election. Detailed information was provided on polling places to assist electors with mobility or other disabilities locate the most suitable polling place. This access material was provided on the NSWEC's website, in the elector brochure, in advertisements and by the NSWEC's staff.

Arrangements for Voting before Election Day

Electors unable to vote on election day had the opportunity to vote early via iVote, postal or pre-poll voting including voting in Declared Institutions.

A total of 9,782 electors voted early. Compared to the March 2011 State Election, a greater proportion of electors in the Sydney District voted before election day. This is a trend generally across NSW and other jurisdictions.

Table 1, on the previous page sets out the usage of the different types of voting in the Sydney District for the byelection and the 2011 and 2007 NSW State Elections. The overall NSW picture is provided as well.

Postal Voting

Electors unable to attend a polling place on election day were able to apply for a postal vote. Postal vote application forms were available from the Returning Officer and the NSWEC's website. All postal votes were processed by the NSWEC's Returning Officer.

Electors registered as 'General Postal Voters' did not have to re-apply and received vote material sent by post to their address.

The closing dates for receipt of postal voting applications was 6pm 22 October 2012, for those applying from overseas and 24 October 2012, 6pm for electors within Australia. Completed postal votes had to be returned by Wednesday, 31 October 2012, 6.00pm.

A combined total of 4,782 postal votes and iVotes were included in the count of formal votes. This was an increase over the 3,408 of the same votes taken in March 2011 in this electoral district.

Pre-poll Voting

Pre-poll voting was provided at the Returning Officer's office, the Sydney Town Hall in Sydney city and at a prepoll voting centre in Surry Hills. Prepolling was not provided overseas or at interstate Electoral Commissions as electors could vote using iVote. There were no complaints regarding this arrangement.

The pre-poll locations were listed on the NSWEC website.

Within NSW, pre-poll voting occurred from Monday, 15 October 2012 until Friday, 26 October 2012 as follows:

Pre Poll Surry Hills

St Peters Catholic Church Hall, 235 Devonshire Street, Surry Hills

Access: not easily accessible.

Monday, 15 October to Friday, 19 October 2012 – 8.00am to 6.00pm Saturday, 20 October 2012 – 9.00am to 5.00pm Monday 22 October to Wednesday 24 October 2012- 8.00am to 6.00pm Thursday 25 October 2012 – 8.00am to 8.00pm Friday, 26 October 2012 8.00am to 6.00pm

Pre Poll Returning Officer's Office

Sydney Returning Officer's Office, Level 1, 309 Pitt Street, Sydney

Access: Assisted Access

Monday, 15 October to Friday, 19 October 2012 – 8.00am to 6.00pm Saturday, 20 October 2012 – 9.00am to 5.00pm

Pre Poll Town Hall

Pre-Poll Sydney Town Hall House, Marconi Room, Level 4 Town Hall House, Druitt Street, Sydney

Access: Full wheelchair access from Kent Street

Monday 22 October to Wednesday 24 October 2012- 8.00am to 6.00pm Thursday 25 October 2012 – 8.00am to 8.00pm Friday, 26 October 2012 8.00am to 6.00pm

Polling Places

Securing appropriate premises for polling places and the Returning Officer's office can be a difficult task. The NSWEC does not own facilities within electoral districts and therefore has to lease venues. The pool of



available premises is limited by the short-term nature of the lease as well as the requirements of the NSWEC's space, accessibility and location criteria. These criteria are applied to meet electors' expectations and requirements such as occupational health and safety.

There were 23 polling places established for the Sydney State Byelection. The NSWEC was able to source venues known to the community as polling places in the majority of cases.

For this by-election the NSWEC again used the Assisted Access rating system. This system rated the accessibility of each of the polling places in the Sydney District, indicating any restrictions. The Assisted Access rating system allows people to make more informed choices about which polling place to use. The information was made available on the NSWEC website, in press advertisements and the elector brochure posted to all enrolled electors.

Appendix D provides the list of all polling places plus the Assisted Access rating.

Hospitals and Declared Institutions

The Parliamentary Electorates and Elections Act 1912 allows hospitals or similar institutions to be appointed by the Electoral Commissioner as polling places for election day. Similarly the Electoral Commissioner has the power to appoint nursing homes, retirement villages and like facilities as Declared Institutions from which votes can be taken by election officials on the Monday to Friday in the week preceding the election.

Using hospital facilities as polling places raises challenges due to their design, possible issues of infection control and the operational considerations of a working hospital.

There are also challenges identifying all facilities in a district and then liaising with the individual facility managers to allow visits by election officials.

In the conduct of the Sydney Byelection, Declared Institutions were visited during the week of Monday 22nd to Friday, 26th October 2012.

Analysis and Evaluation

The NSWEC has an online feedback facility and also registers complaints received via written correspondence. In total there were thirteen complaints received about the conduct of the Sydney By-election. These concerned being unable to vote mainly through being out of the area on election day, which was not grounds for using iVote (to use iVote they had to be out of NSW on election day). All complaints raised were followed up by the NSWEC.

Services to Candidates and Registered Political Parties

Candidate Information Seminar

A Candidate Information Seminar was held 3 October 2012 and all intending candidates were invited to attend. The four attendees were given information regarding funding and disclosure obligations, as well as the electoral process.

Nominations

While nomination forms were available from the NSWEC website prior to lodgement, nomination forms could not be lodged before the issue of the Writ on 8 October 2012. The Writ set 10 October 2012 as close of nominations day for political parties lodging forms with the NSWEC's head office and 11 October 2012 for candidates lodging forms with the Returning Officer.

Registered Officers (or Deputy Registered Officers) of registered political parties could nominate candidates at the NSWEC's office in the city or at the Returning Officer's office in Mascot. Independent candidates could only nominate at the Returning Officer's office.

There were five nominated candidates. Following the close of nominations the Returning Officer conducted a draw to determine the order of candidates on the ballot paper.

'How-to-Vote' Material

Registration of 'How-to-Vote' material was handled at the NSWEC rather than in the Returning Officer's office. Any 'How-to-Vote' material for distribution on election day could be registered with the NSWEC prior to printing. Registration of this material opened on 11 October 2012.

Eleven applications were received and all were registered within three hours.

Close of applications to register 'Howto-Vote' materials was 5.00pm, 19 October 2012.

Postal Vote Applications

Registered Political Parties planning to send Postal Vote Applications to electors were requested to submit these forms to the NSWEC prior to distribution.

Electoral Material

In the Sydney By-election, there were no reported incidents of electoral material displayed or distributed on election day not complying with the legislative requirements.

Conduct of the Sydney By-election

Returning Officer Arrangements

Ms Amber McNally was the Returning Officer for the Sydney By-election. The Returning Officer's office was situated at Level 1, 309 Pitt Street, Sydney and open from Monday, 8 October 2012 from 8am to 6pm.

Returning Officer's duties include:

- set up and decommissioning of the (temporary) office;
- training of office staff and polling place managers;
- arranging voting at polling places and Declared Institutions;
- issuing and processing postal and pre-poll votes;
- dealing with enquiries from candidates and electors and other feedback;
- processing candidate nominations and how-to-vote material; and
- counting votes and declaring the results of the election.

A Returning Officer Support Officer was appointed in the NSWEC's head office to provide support on electoral matters and procedures. This officer was experienced in the Returning Officer role and had worked also in the head office of the NSWEC over many elections.

Logistical and Other Support

Staffing

The majority of staff were employed to work on election day in polling places as Polling Place Managers or Election Officials. In addition casual staff were employed in the Returning Officer's office to pack materials for each polling place, operate pre-poll voting, visit Declared Institutions, count votes and pack up materials following the election.

186 Election Officials and 53 Office Assistants were employed for the Sydney By-election 2012. The Polling Place Managers, their Deputies and Declaration Vote Issuing Officers all received online training. All Election Officials were issued a manual to study and to use on election day for reference if required. Recruitment for Office Assistants and Election Officials commenced on the 12 October 2012. with final offers being made up to election day as notifications of inability to work were received from those who had accepted prior employment offers.

The NSWEC website was used again to provide online registration of prospective staff. The entire employment process is undertaken online including the provision of bank, tax and superannuation details and is a very efficient and effective mechanism for the employment of election staff.

Supplies

The ballot papers were printed by the NSWEC's preferred security printer with ballot papers having a security background. 70,000 ballot papers were printed. A copy of the ballot paper is at Appendix E.

As in every election, considerable quantities of materials need to be printed. Some printing was done in house and some by commercial printers. In general, printing not undertaken by the NSWEC itself, concerned documents to be used by external stakeholders, such as postal voters. In addition, more specialised printing such as declaration envelopes was undertaken externally.

Providing the right type and quantity of supplies to the right location is comparatively easy in a by-election compared to a State Election. Even so, to adequately supply all 23 polling places and the Returning Officer's office as well as provide materials to candidates and registered political parties, approximately 55,000 items of election material were distributed over three weeks.

IT Support

For the Sydney By-election the Election Management Application was utilised. An internet based application for the use of Returning Officers, office assistants and head office staff, it consists of a suite of software modules to assist with managing election processes such as enquiries, election day staffing, candidate nominations, the distribution and return of declaration votes, results and nonvoter administration. In addition, IT equipment is provided to the Returning Officer's office and polling places. On 15 October 2012, 118 separate pieces of equipment were

distributed to support the conduct of the election.

Waste Management

The NSWEC is bound by the NSW Government's waste management policy. In providing electoral services the NSWEC seeks to use, wherever possible, paper and cardboard comprising recycled content and to ensure that the paper and cardboard material used in elections are recycled.

All ballot papers are printed in the most efficient way to minimise cost and paper wastage.

The majority of the cardboard used in the Sydney By-election was recycled from the polling places and unused paper and other cardboard materials were accepted by the venues for recycling.

Election Costs

The expenditure on the Sydney Byelection was \$464,375.

The key cost drivers for the Sydney Byelection were:

- election official wages including that of the Returning Officer;
- iVote;
- hire of premises;
- elector brochure;
- information and technology support;
- advertising;
- printed materials such as ballot papers; and
- cardboard and other materials for polling places.

Results

On any election night the NSWEC provides figures showing the result of counts conducted at each polling place for the first preference vote for each candidate, and the result of the notional count for the two candidates considered most likely to receive the greatest number of votes. The figures provided on election night do not include any postal, pre-poll, absent or other declaration votes. These results are shown on election night from 6.30pm and progressively updated.

On the day after polling day, all the ballot papers from every polling place are recounted to ensure the election night count is accurate (the Check Count). Declaration votes are added progressively over the next few days with postal votes accepted up to Wednesday afternoon after election day at which point the final distribution of preferences is conducted to determine who is to be elected.

Scrutineers appointed by candidates are welcome to attend all counts.

In the Sydney By-election, the election night notional count for the two major candidates (Alex Greenwich, Independent and Shayne Mallard, Liberals) was completed and placed on the NSWEC website on election night by 10.30pm. The poll was declared on Thursday, 1 November 2012.

Table 2: Final Results Sydney By-electionheld 27 October 2012

Candidates	Votes	
GREENWICH, Alex	17,687	ELECTED
MALLARD, Shayne	11,543	
HARRIS, Chris	6,616	
WALL, Glen	825	
PEEBLES, Robyn	724	
Total Formal Votes	37,395	
Total Informal	1,062	
Votes		
Total Votes	38,457	

More detailed information of the election night and other counts is available on the NSWEC's website www.elections.nsw.gov.au

Declaration of the Poll

On Thursday, 1 November 2012, the Returning Officer declared Mr Alex Greenwich elected. A reproduction of the declaration of the poll is at Appendix F.

Enforcement of Compulsory Voting

Failure to Vote

Under electoral legislation, the NSWEC is required to issue 'failure to vote' notices to those electors whose names were not marked off the electoral roll as having voted or recorded as providing a valid excuse. The penalty for not voting in a State by-election is \$55. The funds generated from penalty notices are not collected by the NSWEC but directed to the consolidated fund held by NSW Treasury.

All electoral rolls marked at polling places or in the Returning Officer's office were scanned after election day to create a list of non-voters for the Sydney District.

The number of electors who were issued with penalty notice was 20,468 (33.3%). As at 13 May 2013, 6,886 have been excused from voting and 3,105 have paid the fine and 49 excuses have been rejected. One third of those that have been excused were absent from the district on polling day (but not from the State and therefore not eligible to iVote).

Higher rates of non-voting tend to be a feature of by-elections as compared to State General elections.

To assist electors avoid this penalty in future elections, the penalty notice advises non-voters of the availability of the 'Voter Reminder Service'.



Analysis

Comparison to 2011 NSW State Election and other State by-elections

Participation and informality rates

For the 2011 NSW State Election in the Sydney District there were seven candidates. The number of electors enrolled was 56,786, the voter turnout figure was 83.9% and the informality rate was 2.2%. At the October 2012 by-election, the enrolment figure had increased to 61,428 and the comparable participation and informality rates were 62.6% and 2.8%. Traditionally, participation rates tend to be lower in by-elections than general elections and informality rates higher.

Another means of assessing the conduct of the election is to compare it to other recent State by-elections. The participation rate for the Sydney By-election was unusually low (62.6%) and the informality was mid-range (2.8%) compared to other recent State by-elections.

The range of participation rates for the four State by-elections held in 2008 and one in 2010 was 80.4% to 88.2%. The range of informality rates for these same by-elections was 1.8% to 4.1%.

Non-voters and penalty notices

The rate of penalty notices (PNs) issued for the Sydney By-election was unusually high at 33.3%. A similarly high rate was seen at recent the Heffron By-election as the following table shows. (The increase largely reflects a decision to issue penalty notices to those non-voters who had been 'SmartRolled'. This had not occurred in elections prior to the Heffron By-election.)

Table 3: Penalty Notices (PN), Sydney By-
election October 2012 frequency and
percentages

By-election	No. Roll	PNs issued	No. PN as % Roll
Sydney	61,428	20,468	33.3
Heffron	55,712	16,176	29.0
Clarence	52,171	6,577	12.6
Penrith	46,304	4,295	9.3
Cabramatta	50,383	4,422	8.8
Port	48,198	3,250	6.7
Macquarie			
Ryde	48,002	4,726	9.9
Lakemba	51,288	6,700	13.1

Availability of results of counting

The Sydney election night notional results provided stakeholders the first preference vote for each candidate, and the result of the notional count for the two candidates considered most likely to receive the greatest number of votes. These were posted progressively on the NSWEC's website from 6.30pm.

The Sydney By-election poll was declared on Thursday 1 November 2012. This was an elapsed time of four days from polling day. The range for the time between election day and declaration of the poll for previous byelections in 2011 was three days, in 2010 it was four days and in the four 2008 by-elections it was five to six days. Table 4: Sydney By-election ResultsProvision and Targets, 27 October 2012

	TARGET		ACT	UAL
Polling Places (44)	First Preference	Two Candidate Preferred	First Preference	Two Candidate Preferred
9:00pm	80%	60%	100%	100%
10:30pm	95%	80%	-	-
11:00pm	_	95%	_	-



Appendices

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Appendix A: Sydney By-election October 2012 Elector Brochure

Vote by post

Postal voting is also available for eligible voters. Application forms are available from www.elections.nsw.gov.au or by contacting the returning officer on 1300 135 736. Applications must be received by the returning officer by 6.00pm Wednesday, 24 October 2012 if sent from within Australia. Overseas applications must be received by 6.00pm Monday, 22 October 2012. Electors who are general postal voters will automatically be sent their ballot papers without further application.

Can I get assistance in any other language? For assistance in a language other than English please call 13 14 50.

العربية	Italiano	Bahasa Indonesia	Polski
2.3682	日本語	Tiếng Việt	한국어
Tagalog	Русский	Türkçe	ខ្មែរ
中文	Português	Македонски	Hrvatski
हिंदी	ລາວ	فارسى	Español
Ελληνικά	Српски	ไทย	bil-Malti

For more information: www.elections.nsw.gov.au or call 1300 135 736

For hearing and speech impaired enquiries, call us via the National Relay Service on 13 36 77





Your guide to voting in the Electoral District of



The penalty for not voting in this by-election is \$55.

Your vote is your voice **Be heard.**

Celectoral

Sydney State By-election Saturday, 27 October 2012

This brochure contains important information about the election. It's particularly important to remember:

- Polling places will be open from 8.00am to 6.00pm on Saturday, 27 October 2012;
- Voting is compulsory for all enrolled electors in the electoral district of Sydney; and
- The penalty for not voting is \$55.

Do I need to vote?

You have received this brochure because you are enrolled in the Legislative Assembly District of Sydney. Voting is compulsory for all enrolled electors.

Who do I vote for?

You will vote to elect a candidate to represent the electorate of Sydney in the NSW Legislative Assembly. The full list of candidates is available at our website or by calling us.

How to Vote

Place the number "1" in the square next to the person you want to see elected. If you want, you can then place the number "2" in the square next to your second choice candidate, the number "3" next to your third choice and so on. The directions will be printed on the ballot paper.

Where can I vote?

Visit the NSWEC website for more details about where you can vote on election day, Saturday, 27 October.

What if I can't get to a polling place on election day?

You can apply to use the iVote system to cast your vote over the internet or the telephone: • If you are blind, vision impaired or have another

- If you are blind, vision impaired or have and disability; or
- you will be outside NSW on election day.

To apply visit ivote.nsw.gov.au or call 1300 24 86 83 (from outside Australia call +612 9290 5287). Applications close 6.00pm on Wednesday, 24 October 2012.

Vote early in person

You may be eligible to cast a pre-poll vote. Pre-poll voting is available at the following locations and times:

Returning Office Level 1, 309 Pitt Street, SYDNEY Monday, 15 October to Friday, 19 October - 8am to 6pm

Saturday, 20 October - 9am to 5pm

Sydney Town Hall

Marconi Room(entry from Druitt Street) SYDNEY Monday, 22 October - Wednesday, 24 October - 8am to 6pm Thursday, 25 October - 8am to 8pm Friday, 26 October - 8am to 6pm

St Peters Catholic Church

235 Devonshire Street, SURRY HILLS Monday, 15 October to Friday 19 October - 8am to 6pm Saturday, 20 October - 9am to 5pm Monday, 22 October - 9am to 6pm Thursday, 25 October - 8am to 8pm Friday, 26 October - 8am to 6pm



Message/Publication	Advertisement Date		
Election Funding Authority – Reg			
Sydney Morning Herald	Monday – Saturday from Thursday, 27		
, , , ,	September 2012		
Daily Telegraph	Monday – Saturday from Thursday, 27 September 2012		
Sun Herald	Sunday, 30 September 2012		
Sunday Telegraph	Sunday, 30 September 2012		
Election Funding Authority –	Political Donations		
Sydney Morning Herald	Monday – Saturday from Monday 15 October 2012		
Daily Telegraph	Monday – Saturday from Monday 15 October 2012		
Candidate Seminar			
Sydney Morning Herald	Monday – Saturday from Thursday, 27 September 2012		
Daily Telegraph	Monday – Saturday from Thursday, 27 September 2012		
Enrolment			
Central Courier	Wednesday 3 October 2012		
Wentworth Courier	Wednesday 3 October 2012		
Issue of Writ and Nominations,			
Sydney Morning Herald	Monday 8 October 2012		
Daily Telegraph	Monday 8 October 2012		
Early Voting and Register Elector	al Material		
Central Courier	Wednesday 3 October 2012		
Wentworth Courier	Wednesday 3 October 2012		
Early Voting (Repeat)			
Central Courier	Wednesday 17 October 2012		
Wentworth Courier	Wednesday 17 October 2012		
Remember to vote			
Central Courier	Wednesday, 24 October 2012		
Wentworth Courier			
Results			
Daily Telegraph	Tuesday – Saturday from Tuesday, 6 November 2012		

Appendix B: Sydney By-election October 2012 Schedule of Advertising

Appendix C: Sydney By-election October 2012 Copies of Advertisements

Legislative Assembly By-election District of Sydney

Saturday, 27 October 2012

On Monday, 8 October 2012, I received from the Speaker of the Legislative Assembly, The Honourable Shelley Hancock MP, a Writ for the election of one Member of the Legislative Assembly for the Electoral District of Sydney. The Writ sets the following dates:

Close of Nominations: 12 noon, Thursday, 11 October 2012

Election Day: Saturday, 27 October 2012

Colin Barry, Electoral Commissioner

How to nominate as a Candidate

To nominate you must lodge a nomination form, child-related conduct declaration and a \$250 nomination deposit by 12 noon, Thursday, 11 October 2012 with the Returning Officer.

Registered Political Parties lodging nominations with NSW Electoral Commission head office must do so by 12 noon Wednesday, 10 October 2012.

Nomination forms and the Handbook for Parties, Groups, Candidates and Scrutineers – State By-election are available from the Returning Officer or from the NSW Electoral Commission website www.elections.nsw.gov.au

For nomination enquiries telephone 1300 135 736.

Returning Officer details:

Returning Officer: Amber McNally Returning Office address: Level I, 309 Pitt Street, Sydney 2000 Postal address: PO Box A2445 Sydney South NSW 1235

Information: www.elections.nsw.gov.au or call 1300 135 736

For enquiries in languages other than English call our interpreting service 13 14 50. For hearing and speech impaired enquiries, call us via the National Relay Service on 13 36 77.



Authorised by Colin Barry, Electoral Commissioner, Level 25, 201 Kent Street, Sydney, NSW 2000.



election

Electoral District of Sydney State By-Election 27 October 2012

Are you thinking of becoming a candidate?

If you are intending to contest the Sydney State by-election as a candidate you have obligations and responsibilities

- If you intend to:
- accept a political donation, make a political donation, or
- spend money on an election campaign, you have legal obligations and responsibilities under the Election Funding, Expenditure and Disclosures Act 1981 (the Act).

Registration of Candidates

It is unlawful for candidates to accept political donations or make payments for electoral expenditure without being registered with the Election Funding Authority of NSW

To register with the Election Funding Authority you must complete and lodge an Application for Registration of a Candidate for a State Election form.

- The application for registration of a candidate:
- must be lodged with the Election Funding Authority;
- is required to be accompanied by the Notice of Appointment of Official Agent form; and
- needs to be lodged on or before nomination day for the election.

These forms can be found on the Election Funding Authority's website www.efa.nsw.gov.au

An information seminar for candidates will be held on 3 October starting at 5pm at Level 1, 309 Pitt Street, Sydney. The seminar will also include key electoral information for candidates contesting the election.

For more information visit <u>www.efa.nsw.gov.au</u> or call 1300 022 011.

ELECTORAL DISTRICT OF SYDNEY STATE BY-ELECTION 27 October 2012

Political Donations

If you are intending to make a political donation in relation to the upcoming Sydney State by-election you have obligations and responsibilities under the Election Funding, Expenditure and Disclosures Act 1981.

Political donors are individuals who are enrolled for a federal, state or local government election who make one or more political donations to or for the benefit of a candidate, a NSW political party, an elected member or a group of candidates.

Types of Political Donations

For the purposes of disclosure, the Election Funding Authority of NSW recognises two types of political donations:

Small Political Donations

These are single donations of less than \$1,000 or multiple donations from the same source to the same candidate in the same financial year totalling less than \$1,000.

Reportable Political Donations

These are single donations of \$1,000 or more or multiple donations to the same candidate from the same source in the same financial year totalling \$1,000 or more.

Disclosure Obligations of Political Donors

Political donors who make reportable political donations for this election will be required to lodge a disclosure with the Election Funding Authority of NSW between 1 July and 22 September 2013.

For more information visit <u>www.efa.nsw.gov.au</u> or call 1300 022 011.

election Visit www.efa.nsw.gov.au or call 1300 022 011

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Legislative Assembly By-election District of Sydney Saturday, 27 October 2012

Enrol to Vote

To be eligible to vote at the upcoming Sydney State By-election, you must be correctly enrolled.

If you think your enrolment details may be out of date and you wish to update them, or if you have turned 18, become an Australian citizen recently or you wish to enrol for the first time visit:

www.elections.nsw.gov.au or call 1300 135 736

For enquiries in languages other than English call our interpreting service 13 14 50. For hearing and speech impaired enquiries, call us via the National Relay Service on 13 36 77.

Your vote is your voice. electoral Be heard.

Authorised by Colin Barry, Electoral Commissioner, Level 25, 201 Kent Street, Sydney, NSW 2000.

Legislative Assembly By-election **District of Sydney** Saturday, 27 October 2012

Candidate Information Seminar

The NSW Electoral Commission in conjunction with the Election Funding Authority will be conducting a candidate information seminar for candidates and interested participants.

As a candidate, you should be aware of your legal obligations and responsibilities. Attendance at the seminar is highly encouraged to ensure candidates, official agents and party agents are aware of the legal responsibilities and obligations.

The seminar will cover a wide range of topics including the election timetable, nominations, election advertising and how-to-vote material, voting and formality, scrutineering, vote counting and election funding and disclosure obligations.

The seminar will take place at the Returning Office, Level 1, 309 Pitt Street, Sydney from 5 pm to 7 pm on Wednesday, 3 October 2012. For more information visit the website or call us.

Candidate Registration

Your vote is your voice.

Be heard.

Candidates must be registered with the Election Funding Authority prior to receiving political donations or making a payment for electoral expenditure. For more information regarding candidate registration, please visit ww.efa.nsw.gov.au

Information: www.elections.nsw.gov.au or call |300 |35 736

For enquiries in languages other than English call our interpreting service 13 14 50. For hearing and speech impaired enquiries, call us via the National Relay Service on 13 36 77.

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Legislative Assembly By-election District of Sydney

Saturday, 27 October 2012

Early Voting Options

The Sydney State By-election is on **Saturday, 27 October 2012**. If you can't get to a polling place, or you're out of the State on election day you may be eligible to vote early.

You can enrol or update your details when you vote

If you are not on the electoral roll in NSW or you are enrolled at an old address you may be eligible to enrol or update your details when you vote early in person. Just bring your NSW photo driver licence or NSW Photo Card showing your current address, and Australian citizenship details if you were born overseas.

To check your enrolment details go to www.elections.nsw.gov.au or call 1300 135 736.

Vote via the internet or telephone

People who will be outside NSW on election day, or people who are blind, vision impaired or who have any other disability, can apply to use the IVote system to cast their vote over the internet or the telephone.

To apply visit **iVote.nsw.gov.au** or call 1300 2 iVote (1300 24 86 83) or from outside Australia call +612 9290 5287. Applications close 6.00pm EDST on Wednesday, 24 October 2012.

Vote by post

You can vote by post by lodging a postal vote application with the Returning Officer by Monday, 22 October 2012 if you're overseas, or Wednesday, 24 October 2012 if you're in Australia. You can apply for a postal vote online at **www.elections.nsw.gov.au** or request one by calling us.

Vote early in person

You may be eligible to cast a pre-poll vote from Monday, 15 October 2012. Pre-poll voting is available at the following locations and times:

Returning Office, Level 1, 309 Pitt Street, Sydney Monday, I5 October to Friday, 19 October – 8.00am to 6.00pm Saturday, 20 October – 9.00am to 5.00pm

saturday, 20 October – 9.00am to 5.00pm

Sydney Town Hall (Marconi Room), entry from Druitt Street, Sydney Monday, 22 October to Wednesday, 24 October – 8.00am to 6.00pm

Thursday, 25 October – 8.00am to 8.00pm Friday, 26 October – 8.00am to 6.00pm

Surry Hills

Details of the location and voting times will be available at www.elections.nsw.gov.au

REMEMBER VOTING IS COMPULSORY. THE FINE FOR NOT VOTING IS \$55.

Register Your Electoral Material

Electoral material, including how to vote cards, to be distributed on election day by candidates, registered political parties or any person or organisation, must be registered. Information about electoral material is available in the Handbook for Parties, Groups, Candidates and Scrutineers – State By-Election available from the NSW Electoral Commission or **www.elections.nsw.gov.au**

Applications to register electoral material should be forwarded to the NSWEC:

Email - howtovote@elections.nsw.gov.au

Fax - (02) 9290 5488

Mail – GPO BOX 832 Sydney NSW 2001

Deliver in person - Level 25, 201 Kent St Sydney 2000

Applications must be received by **5.00pm Friday**, **19 October 2012**. Material received after the deadline will not be considered.

Inquiries: 1300 135 736

Electoral material distributed before election day is not required to be registered but must comply with electoral legislation.

Information: www.elections.nsw.gov.au or call 1300 135 736

For enquiries in languages other than English call our interpreting service 13 14 50. For hearing and speech impaired enquiries, call us via the National Relay Service on 13 36 77.

Your vote is your voice. Be heard.

Authorised by Colin Barry, Electoral Commissioner, Level 25, 201 Kent Street, Sydney, NSW 2000.

electoral

Legislative Assembly By-election District of Sydney Saturday, 27 October 2012

Remember to vote this Saturday

Saturday, 27 October is election day. Polling places are open from 8am to 6pm.

Polling Places

Locality	Venue and Address
Darlinghurst.	Darlinghurst Public School, Womerah Avenue
Darlinghurst	St Johns Anglican Church Community Hall, Victoria Street
Darlinghurst	St Peters Playhouse, Forbes Street
Darlinghurst	St Vincents Hospital Darlinghurst, 390 Victoria Street
East Sydney	Unitarian Church Hall, 15 Francis Street
Edgecliff	St Josephs Parish Centre Edgecliff, 10 Albert Street
Elizabeth Bay	St Canices Church Hall, 28 Roslyn Street
Millers Point	Abraham Mott Hall, 17 Argyle Place
Paddington	Paddington Church of Christ Hall, 116 Paddington Street
Paddington	St Francis Of Assisi Regional Catholic School Hall, 64 Gordon Stre
Paddington	St Georges Anglican Church Paddington, 245 Glenmore Road
Potts Point	Reginald Murphy Hall, Greenknowe Avenue
Pyrmont	Pyrmont Community Centre, Cnr John and Mount Streets
Surry Hills	Bourke Street Public School, 590 Bourke Street
Surry Hills	Crown Street Public School, 356 Crown Street
Surry Hills	KU John J Carroll Pre-School, 2 Phelps Street
Surry Hills	St Michaels Church Hall Surry Hills, 196 Albion Street
Surry Hills	St Peters Catholic Church Hall, 235 Devonshire Street
Sydney	Sydney Town Hall (Marconi Room), 483 George Street
Ultimo	Ultimo Public School, Quarry Street
Ultimo	St Barnabas Church Broadway, 57-61 Mountain Street
Woollahra	St Columba Uniting Church Woollahra, Cnr Ocean & Forth Stree
Waallaamaalaa	Woolloomooloo Activity Club, Charles Street

PEEBLES Robyn CHRISTIAN DEMOCRATIC PARTY (FRED NILE GROUP) GREENWICH Alex INDEPENDENT

HARRIS Chris	THE GREENS
MALLARD Shayne	LIBERAL
WALL Glenn	INDEPENDENT

Early voting

Pre-poll voting is available until 6pm on Friday, 26 October 2012. Pre-poll locations and times are available on our website or by calling us.

You can enrol or update your details when you vote

All enrolled electors have been sent an elector brochure to their postal address. If you are not on the Sydney electoral roll or you are enrolled at an old address but now live in the electoral district of Sydney, you can enrol or update your details and vote on election day. Just bring your NSW Photo Driver Licence or NSW Photo Card showing your current address in the Sydney electoral district, and your Australian citizenship or passport details if you were born overseas.

To check your current enrolment details visit our website or call us.

REMEMBER VOTING IS COMPULSORY. THE FINE FOR NOT VOTING IS \$55.

For information: www.elections.nsw.gov.au or call 1300 135 736

For enquiries in languages other than English call our interpreting service 13 14 50. Hearing or speech impaired? Call us via the National Relay Service on 13 36 77.

Your vote is your voice. Be heard.

Authorised by Colin Barry, Electoral Commissioner, Level 25, 201 Kent Street, Sydney, NSW 2000.

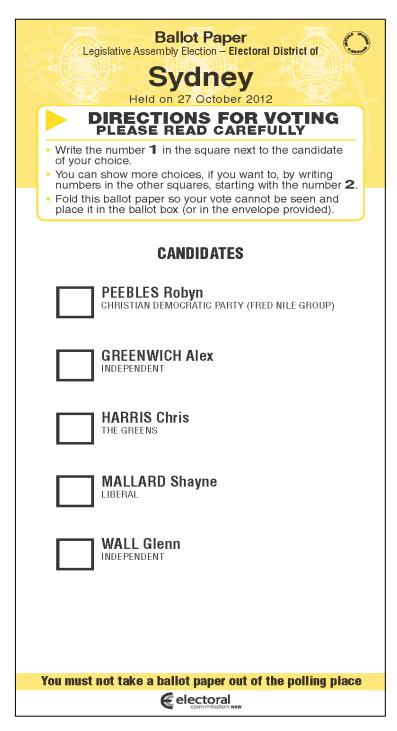


Appendix D: Sydney By-election October 2012 Polling Places and Disability Access

Polling Place	Address	Locality	Accessibility
Name			
Abraham Mott Hall	17 Argyle Place	Millers Point	Fully Wheelchair
			Accessible
Bourke Street Public	590 Bourke Street	Surry Hills	Did not meet accessibility
School			requirements for electors
			with a disability
Crown Street Public	356 Crown Street	Surry Hills	Did not meet accessibility
School			requirements for electors
Darlinghurst Public	Womerah Avenue	Darlinghurst	with a disability Did not meet accessibility
Darlinghurst Public School	womeran Avenue	Daninghuisi	requirements for electors
301001			with a disability
KU John J Carroll	2 Phelps Street	Surry Hills	Did not meet accessibility
Pre-School			requirements for electors
			with a disability
Paddington Church	116 Paddington	Paddington	Did not meet accessibility
of Christ Hall	Street		requirements for electors
			with a disability
Pyrmont Community	Corner John and	Pyrmont	Fully Wheelchair
Centre De sin ald Murahy	Mount Streets	Datta Daint	Accessible
Reginald Murphy Hall	Greenknowe Avenue	Potts Point	Did not meet accessibility requirements for electors
пан	Avenue		with a disability
St Barnabas Church	57-61 Mountain	Ultimo	Fully Wheelchair
Broadway	Street		Accessible
St Canices Church	28 Roslyn Street	Elizabeth Bay	Did not meet accessibility
Hall			requirements for electors
			with a disability
St Columba Uniting	Cnr Ocean & Forth	Woollahra	Did not meet accessibility
Church Woollahra	Streets		requirements for electors
		Develolize este se	with a disability
St Francis Of Assisi Regional Catholic	64 Gordon Street	Paddington	Did not meet accessibility requirements for electors
School Hall			with a disability
St Georges Anglican	245 Glenmore	Paddington	Did not meet accessibility
Church Paddington	Road	3.2	requirements for electors
			with a disability
St Johns Anglican	Victoria Street	Darlinghurst	Did not meet accessibility
Church Community			requirements for electors
Hall			with a disability
St Josephs Parish	10 Albert Street	Edgecliff	Fully Wheelchair
Centre Edgecliff St Michaels Church	196 Albion Street	Surry Hills	Accessible Did not meet accessibility
Hall Surry Hills	170 ADUT STEEL		requirements for electors
			with a disability
St Peters Playhouse	Forbes Street	Darlinghurst	Did not meet accessibility
			requirements for electors
			with a disability
St Peters Catholic	235 Devonshire	Surry Hills	Did not meet accessibility
Church Hall	Street		requirements for electors
			with a disability

Polling Place Name	Address	Locality	Accessibility
St Vincent's Hospital Darlinghurst	390 Victoria Street	Darlinghurst	Fully Wheelchair Accessible
Sydney Town Hall	483 George Street	Sydney	Did not meet accessibility requirements for electors with a disability
Ultimo Public School	Quarry Street	Ultimo	Did not meet accessibility requirements for electors with a disability
Unitarian Church Hall	15 Francis Street	East Sydney	Did not meet accessibility requirements for electors with a disability
Woolloomooloo Activity Club	Charles Street	Woolloomooloo	Did not meet accessibility requirements for electors with a disability





Appendix F: Sydney By-election October 2012 Declaration of Poll

Legislative Assembly By-election District of Sydney Held Saturday, 27 October 2012

Result of By-election

On Thursday, I November 2012 I returned to the Speaker of the Legislative Assembly, the Honourable Shelley Hancock, MP, the writ for the election of the member for the Legislative Assembly District of Sydney.

The following candidate was elected:

Alex GREENWICH (Independent)

Detailed results are available from the NSW Electoral Commission website,

www.elections.nsw.gov.au

Colin Barry, Electoral Commissioner





References

Australian Bureau of Statistics '2011 Census QuickStats; Sydney (State Electoral District)'.

New South Wales Electoral Commission, 'Report on the Conduct of the NSW State Election 2011', Report to the NSW Parliament Joint Standing Committee on Electoral Matters, November 2011.