12 November
2016

# Report on the Conduct of the Election

Canterbury State By-election

The Hon. Gladys Berejiklian MP Premier 52 Martin Place SYDNEY NSW 2000

**Dear Premier** 

I am pleased to submit to you, for presentation to Parliament, my report on the conduct of the State By-election held on 12 November 2016 for the Legislative Assembly District of Canterbury.

John Schmidt

Yours sincerely

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John Schmidt

**Electoral Commissioner** 

21 June 2017

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# **Foreword**

This report provides information on the conduct of the by-election held for the Canterbury Electoral District on 12 November 2016 following the resignation of Ms Linda Burney MP on 6 May 2016.

The Writ for the Canterbury By-election was issued on Friday, 23 September 2016, giving the New South Wales Electoral Commission (NSWEC) seven weeks to prepare the by-election.

The conduct of the election proceeded smoothly. The turnout and informality rates for the Canterbury By-election were 78.54 per cent and 4.63 per cent respectively.

The NSWEC provided the first preference count by 10.30pm on election night and declared the poll at 3pm on Thursday 17 November 2016, five days after the election. This was within the range for declaration of the poll for earlier State by-elections.

The delivery of efficient, accurate and trusted elections, in accordance with NSW electoral legislation, relies heavily upon the capability of NSWEC staff. I would like to take this opportunity to record my thanks to these staff and the NSWEC's suppliers and contractors for their contribution and commitment to the successful conduct of the Canterbury By-election.

# **Overview**

# **By-election Outcome**

The candidate elected at the Canterbury By-election on 12 November 2016 was Ms Sophie Cotsis (Australian Labor Party) with 27,993 votes, 65.4 per cent of first preference votes.

# **Candidates Standing for Election**

Candidate Name	Party Affiliation
KOUROUSHIS, Branka	Christian Democratic Party
BODELL, Kristian	The Greens
COTSIS, Sophie	Australian Labor Party

# **Cost data**

Cost of By-election	\$692,142
Cost per Elector	\$12.11

# **By-election Summary**

Enrolment	
Total Electors	57,112
Electors enrolled or re-enrolled via SmartRoll	1,284
Voting venues	
Pre-poll venues	2
Polling Places (including Pre-poll and Declared Institutions)	29
Staff employed for election	193
Voter Turnout	
Formal votes	42,783
Informal votes	2,075
Total votes	44,858
Voter turnout rate	78.54%
Formality rate	95.38%
Non-voting penalty notices issued	9,512 <sup>1</sup>
Penalty notices issued as % of Roll	16.65%
Voting Options used by Electors	
Ordinary	34,775
iVote	2,203
Postal	1,260
Pre-poll	6,240
Declared Institution	0
Enrol and vote	324
Provisional/Silent	54
Candidates	
Total candidates	3

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<sup>&</sup>lt;sup>1</sup> A penalty notice is withdrawn if an elector provides a valid excuse for not voting. At the date of this report the enforcement process for failure-to-vote penalty notices for this by-election had not yet been completed.

# **By-election Key Dates**

Date	Matter
23 September 2016	Issue of Writ
23 September 2016	Commencement of capped expenditure period
23 September 2016	Candidate and third-party campaigner registration opens
23 September 2016	Close of Authorised Roll (6:00pm)
23 September 2016	Candidate nominations open
25 October 2016	iVote Registration opens (10:00am)
26 October 2016	Close of party nominations at NSWEC HO (12 noon)
27 October 2016	Close of nominations at RO office (12 noon)
27 October 2016	Candidate registrations close (12 noon)
27 October 2016	Ballot paper draw (2:00pm)
27 October 2016	Registration of Electoral Materials commences
31 October 2016	Pre-poll voting opens (8:00am-6:00pm)
31 October 2016	iVote voting opens (8:00am)
4 November 2016	Registration of Electoral Material closes (5:00pm)
4 November 2016	Registration of third-party campaigners closes (5:00pm)
7 November 2016	Postal vote application (outside Australia) closes (6:00pm)
7 November 2016	Declared Institution voting starts
9 November 2016	Postal vote application (within Australia) closes (6:00pm)
11 November 2016	Pre-poll voting closes (6:00pm)
11 November 2016	Declared Institution voting ends
12 November 2016	Election Day (8:00am-6:00pm)
12 November 2016	End of capped expenditure period
12 November 2016	iVote registration closes (1:00pm)
12 November 2016	iVote voting closes (6:00pm)
16 November 2016	Receipt of postal votes declarations closes (6:00pm)
17 November 2016	Declaration of Poll
21 November 2016	Writ returned
25 November 2016	Last day of return of writ

# **Features of the Canterbury By-election**



# 1. Internet and Telephone Voting (iVote)

The *Parliamentary Electorates and Elections Act 1912* provides for internet and telephone voting (iVote) to be used in Parliamentary by-elections

Both online and telephone voting were provided for the Canterbury by-election, with online voting being the preferred option for electors who use iVote.

The criteria applied to electors seeking to use iVote were the same as in other NSW Parliamentary elections. Electors were eligible to use iVote if they:

- had a visual impairment;
- had a disability;
- had their place of living 20 kilometres or more from a polling place; or
- were outside NSW on election day.

Registration to use iVote was open between 26 October and 12 November 2016. Voting using iVote commenced on 31 October 2016 and closed 12 November 2016

There were 2,203 iVotes cast (4.91 per cent of total votes).



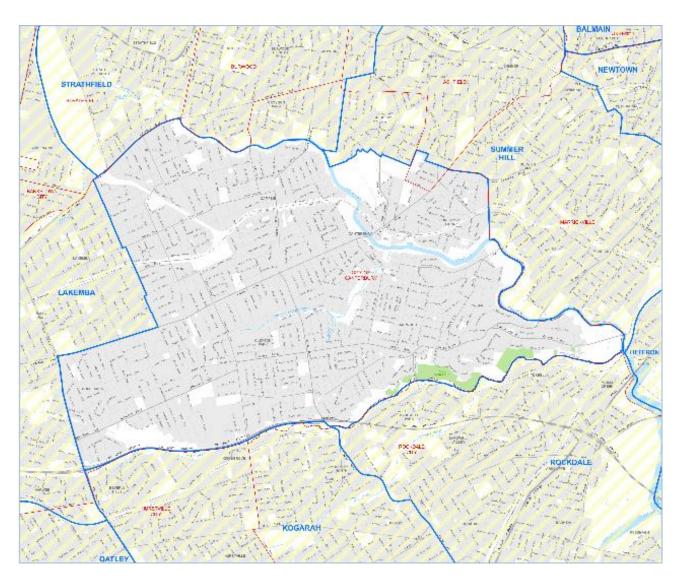
### 2. Automatic Enrolment and Re-enrolment

The NSWEC uses its SmartRoll system to increase elector enrolment through automatic enrolment and change of enrolment details. The SmartRoll system makes use of data held by other NSW public sector agencies, such as licensing authorities and the Registry of Births, Deaths and Marriage, as well as the Australian Electoral Commission. Changes of address and other information relevant to enrolment are forwarded automatically to the NSWEC when eligible electors update their details with certain government agencies.

At the time of the Canterbury By-election, 57,112 electors were on the roll. Through the use of SmartRoll 1,284 Canterbury electors, 2.25 per cent of the total number of electors in that district, were either newly enrolled or had their enrolment details updated.

# **Canterbury Electoral District**

# **Canterbury District Profile**



The State Electoral District of Canterbury covers the local government areas of Inner-West Council and Canterbury-Bankstown Council.

### **Area**

20.75 square kilometres

# **Postcodes**

2191, 2192, 2193, 2194, 2196, 2206, 2208, 2209

## **Local Government Areas Covered**

Canterbury-Bankstown Council and Inner West Council

#### **Localities**

Ashbury, Belfield, Belmore, Beverly Hills, Campsie, Canterbury, Clemton Park, Earlwood, Hurlstone Park, Kingsgrove, Roselands

# **Demographic Profile**

The 2011 census revealed that Canterbury had 78,770 residents (excluding overseas visitors) of whom 51.2 per cent were female (40,298) and 48.8 per cent were male (38,472). Indigenous Australians made up 0.7 per cent (554) of the population.

The median age was 38 years, slightly above the overall average for Australia of 37. Approximately one seventh of the population was aged 65 or over (14.17 per cent) and 22.9 per cent were aged under 20.

Almost half (49.1 per cent) of residents were born in Australia. The most frequent countries of birth after Australia were China (9.0 per cent), Greece (3.6 per cent), Lebanon (2.9 per cent), Italy (2.5 per cent) and Vietnam (2.1 per cent).

Throughout the electorate, 40.7 per cent of people speak only English at home. Other languages spoken at home included:

- Greek (8.7 per cent)
- Mandarin (7.4 per cent)
- Arabic (6.5 per cent)
- Cantonese (5.5 per cent)
- Italian (4.1 per cent).

# **Historical Electoral Representation**

Previous recent State representation for the Canterbury District has been:

Date	Name	Party
2003 – 2016	Linda Jean BURNEY	Australian Labor Party
1986 – 2003	Kevin Joseph MOSS	Australian Labor Party
1962 – 1985	Kevin James STEWART	Australian Labor Party

# **Services to Electors**

The NSWEC undertook an advertising and communication campaign to raise awareness of the Canterbury By-election and provide necessary information to electors and candidates. The major aspects of this strategy involved paid advertisements, an elector brochure sent to each enrolled elector and active media liaison. All communications activity directed people to the NSWEC website which provided further detailed information about the election.

# **Advertising**

The Parliamentary Electorates and Elections Act 1912 prescribes that certain advertising must occur. The advertising strategy for the Canterbury By-election involved placing newspaper advertisements in state-wide and local newspapers supporting the phases of the election:

- Enrol to vote
- Issue of Writ / Nominations information
- Early voting options including pre-poll, postal, iVote and register electoral material
- Remember to vote including a list of candidates

In addition, digital display advertisements were placed to target eligible iVote users who were interstate or overseas.

#### **Elector brochure**

The vast majority of enrolled electors were sent a personalised elector brochure to their nominated mailing address. The brochure contained information about the by-election including a list of polling places. There were 56,914 brochures printed for the Canterbury electorate. See Appendix A.

#### **Media Liaison**

Targeted media releases supported the various phases of the election. The media officer provided live and pre-recorded interviews as required and answered all media enquiries about the election.

#### **NSWEC Website**

The NSWEC's website provided a range of information concerning participation in the by-election, including the election timetable, employment opportunities, enrolment, polling places, candidate requirements, names of candidates and poll results.

Use of the NSWEC's website is typically greatest on election night when users seek information on results. On election night, the Canterbury By-election results page had 5,868 unique views.

### **Election Reminder Service**

This reminder service enables electors to register with the NSWEC to be kept notified, by SMS message, email, or both, of impending elections and sent one or more reminders to vote.

For the Canterbury by-election, the total number of email reminders sent was 1267. There were 1190 SMS reminders. In all, 713 electors availed themselves of the service.

### **Service Standards**

The NSWEC seeks to meet the expectations of electors about its electoral services and to this end has developed a Service Charter which outlines the NSWEC's election service standards. The 2014-16

NSW State Election Service Commitments Charter was the overall service standard for this byelection. In short, the NSWEC undertakes to:

- Foster participation in the democratic process
- Provide seamless service delivery
- Be customer focussed and commercially minded
- Be innovative and fast adaptors

The Service Charter can be found at Appendix D.

# **Culturally and Linguistically Diverse Electors**

The Canterbury electoral district is considered a high culturally and linguistically diverse (CALD) district, so materials were produced in Arabic, Traditional Chinese and Vietnamese, as well as English.

All electors had access to interpreters via the Translating and Interpreting Service. This service was promoted in the on-line training for Polling Place Managers and Election Officials.

# **Electors with Disabilities and Access to Polling Places**

Securing appropriate premises for polling places can be difficult. The NSWEC does not own facilities within electoral districts. The pool of available rental premises is limited by the short-term nature of the lease and the NSWEC's space, accessibility, location and workplace health and safety requirements.

As far as practically possible, the NSWEC aimed to provide accessible and appropriately sited polling places for the Canterbury By-election. Detailed information was provided to assist electors with mobility issues or other disabilities to locate the most suitable polling place. This access information was provided on the NSWEC's website, in the elector brochure and by NSWEC staff.

The NSWEC used the Assisted Access rating system, which rated the accessibility of each of the polling places in the Canterbury District.

### **Arrangements for Voting before Election Day**

Electors who were unable to vote on election day had the opportunity to vote early using iVote, postal or pre-poll voting (including voting in Declared Institutions).

A total of 9,703 electors voted early, representing slightly more than a fifth of total votes cast. This volume is in line with a general trend of early voting across NSW and other Australian jurisdictions.

### **Postal Voting**

Electors who were unable to attend a polling place on election day were able to apply for a postal vote. Postal vote application forms were available from the Returning Officer and the NSWEC's website.

Of 1,782 Postal Votes Issued, 1,260 were accepted, 215 rejected and 307 not scrutinised, because they were either returned too late or not returned at all.

## **Pre-poll Voting**

Pre-poll voting was open to electors at the Returning Officer's office and the NSWEC's office in the Sydney CBD. The pre-poll locations were listed in the elector brochure and on the NSWEC website.

Pre-polling for electors who were overseas or interstate was provided via postal voting and iVote.

#### **Pre-poll Returning Officer's Office**

Shop 3-5, 300-306 Canterbury Road, Canterbury

Access: Assisted Access – no designated accessible parking space, no accessible toilet.

Monday 31 October 2016 to Friday 11 November 2016

Monday to Friday: 8:00am - 6:00pm

Thursday (10 November): 8:00am - 8:00pm

Saturday: 9:00am - 5:00pm

#### **Pre-poll NSWEC Head Office**

Level 25, 201 Kent Street Sydney

Access: Assisted Access – no designated accessible parking space, no accessible toilet.

Monday, 31 October to Friday, 11 November – 9:00am to 5:00pm, closed Saturday and Sunday.

## **Polling Places**

As noted earlier, securing appropriate premises for polling places and the Returning Officer's office can be difficult. The pool of available rental premises is limited by the short-term nature of the lease and the NSWEC's space, accessibility, location and workplace health and safety requirements.

#### **Declared Institutions**

The Parliamentary Electorates and Elections Act 1912 allows the Electoral Commissioner to appoint hospitals, convalescent homes or similar institutions as places at which residents or inpatients of those institutions can cast their votes before election day.

In the conduct of the Canterbury By-election, six venues were originally designated as Declared Institutions, but all requested postal votes, eliminating the need for NSWEC staff to visit.

# **Services to Candidates and Registered Political Parties**

## **Nominations**

Nomination forms were available from the NSWEC website and could be lodged after the issue of the Writ (Friday, 23 September 2016). The Writ set 26 October 2016 as the date for close of nominations for political parties lodging forms with the NSWEC's head office and 27 October 2016 for candidates lodging forms with the Returning Officer.

Registered Officers (or Deputy Registered Officers) of registered political parties could nominate candidates at the NSWEC's office in the city or at the Returning Officer's office in Canterbury. Independent candidates could only nominate at the Returning Officer's office.

There were three candidates. Following the close of nominations the Returning Officer conducted a draw to determine the order of candidates on the ballot paper.

# Registrations

Candidates and third-party campaigners were required to register for the by-election before accepting political donations or making payments for electoral expenditure. Registration forms could be lodged with the NSWEC from the issue of the Writ (Friday, 23 September 2016).

Candidates had until Thursday, 27 October 2016 to submit a registration form, while third-party campaigners had until Friday, 4 November 2016.

Two candidates and one third-party campaigner applied to be registered for the by-election. One candidate was deemed to be registered when they were nominated.

### 'How-to-Vote' Material

Registration of 'How-to-Vote' material was handled centrally. Registration of this material opened on 31 October 2016.

Close of applications to register 'How-to-Vote' material was 5.00pm, Friday 11 November 2016.

# **Conducting the Canterbury By-Election**

# **Returning Officer Arrangements**

The Returning Officer's office was situated at Shop 3-5, 300-306 Canterbury Road, Canterbury.

Returning Officer's duties include:

- set up and decommissioning of the (temporary) office;
- training of office staff and polling place managers;
- arranging voting at polling places and Declared Institutions;
- issuing and processing postal and pre-poll votes;
- dealing with enquiries from candidates and electors and other feedback;
- processing candidate nominations and how-to-vote material; and
- counting votes and declaring the results of the election.

A Returning Officer Support Officer was appointed in the NSWEC's head office to provide support on electoral matters and procedures.

### **Election Costs**

The expenditure on the Canterbury By-election was \$692,142. The cost per elector was \$12.11

# **Logistical and Other Support**

## **Staffing**

The majority of casual election staff were employed to work on election day in polling places as Polling Place Managers or Election Officials. In addition casual staff were employed in the Returning Officer's office to pack materials for each polling place, operate pre-poll voting, visit Declared Institutions, count votes and pack materials after the election.

Recruitment of election staff took place via the NSWEC on-line registration system. Staff were made up of 21 Polling Place Managers, three Deputy Polling Place managers, 26 Declaration Vote issuing Officers, 108 Election Officials, 32 Office Assistants, three Senior Office Assistants and the Returning Officer. Of the staff employed, 1.7 per cent identified as Indigenous, 39.5 per cent were bilingual and 0.5 per cent had a disability.

The Polling Place Managers, their Deputies and Declaration Vote Issuing Officers all received online training. All Election Officials were issued a manual for study and use on election day.

The entire employment process is undertaken online, including the provision of bank, tax and superannuation details.

### **Supplies**

A total of 69,400 ballot papers with security backgrounds were printed by the NSWEC's preferred security printer. Ballot papers featured a security background. A copy of the ballot paper is at Appendix C.

# **Waste Management**

The NSWEC is bound by the NSW Government's waste management policy. In providing electoral services the NSWEC seeks to use, wherever possible, paper and cardboard comprising recycled content and to ensure that the paper and cardboard materials are themselves recycled.

In the Canterbury By-election, standard cardboard materials were used in polling places. These included voting screens, wheelchair screens, ballot boxes, caution signs and recycle bins. After the election, the materials were taken to the nearest council recycling facility.

# **IT Support**

For the Canterbury By-election the Election Management Application was used. An internet based application for the use of Returning Officers, office assistants and head office staff, it consists of a suite of software modules to assist with managing election processes such as enquiries, election day staffing, candidate nominations, the distribution and return of declaration votes, results and non-voter administration.

In addition, IT equipment is provided to the Returning Officer's office and polling places.

# **Results**

On election night, the NSWEC provides the result of counts conducted at each polling place for the first preference vote for each candidate, and the result of the notional count for the two candidates considered most likely to receive the greatest number of votes (the two candidate preferred vote). The results are shown on election night from 6.30pm and progressively updated.

On the day after polling day, all the ballot papers from every polling place are recounted to ensure the election night count is accurate (the check count). Declaration votes are added progressively with postal votes accepted up to Wednesday afternoon after election day. At this point, the final distribution of preferences is conducted.

Candidates' scrutineers are welcome to attend all counts.

Table 1: Final Results Canterbury By-election held 12 November 2016

Candidates	Votes	
KOUROUSHIS, Branka	8,397	
BODELL, Kristian	6,393	
COTSIS, Sophie	27,993	ELECTED
Total Formal Votes	42,783	
Total Informal Votes	2,075	
Total Votes	44,858	
Absolute Majority	21,392	

More detailed information on the counts is available on the NSWEC's website www.elections.nsw.gov.au

The availability of results met the NSWEC's service standards as shown below.

Table 2: Canterbury By-election Results Provision and Targets, 12 November 2016

POLLING PLACES (21, excludes Pre-Poll and Declared Institution)	TAR	GET	ACTU	JAL
	First Preference	Two Candidate Preferred	First Preference	Two Candidate Preferred
8:00pm	80%	N/A	80%	N/A
9:00pm	90%	N/A	100%	N/A
10:30pm	-	N/A	-	N/A
11:00pm	-	N/A	-	N/A

# **Declaration of the Poll**

On Thursday, 17 November 2016, the Returning Officer declared Ms Sophie Cotsis elected.

This was an elapsed time of five days from polling day. This is a similar interval to that for byelections held in 2014, while the by-elections held in 2013 for Miranda and Northern Tablelands were declared in three and six days, respectively.

# **Enforcement of Compulsory Voting**

### **Failure to Vote**

Under electoral legislation, the Electoral Commissioner is required to issue 'Failure to Vote' notices to those electors whose names were not marked off the electoral roll as having voted or recorded as providing a valid excuse. The penalty for not voting in a State by-election is \$55.00. The funds generated from penalty notices are not collected or retained by the NSWEC but directed to NSW Government consolidated revenue.

All electoral rolls marked at polling places or in the Returning Officer's office were scanned after election day to create a list of non-voters for the Canterbury by-election.

The number of electors who were issued with penalty notices was 9,512 (16.65 per cent of electors enrolled). The remainder of electors who did not vote had provided a valid excuse for not voting either prior to or immediately after election day. Higher rates of non-voting tend to be a feature of by-elections as compared to State General Elections. For the 2015 State Government Elections, 2,228 people appeared to have failed to vote, of whom 1,033 provided valid and sufficient reasons for failing to do so.

Initial Apparent Failure to Vote notices for the 2016 State by-elections were issued on 7 February 2017. Responses can be submitted either by mail, email, or through the NSWEC online portal. The notice provides options to satisfy the matter by either

- Paying the penalty of \$55.00;
- Notifying us of the polling place in which they attended;
- Submitting a reason for failure to vote for review; and/or
- Electing for the matter to be dealt with by a court

If a person does not respond to the initial Apparent Failure to Vote notices by the due date (28 days from the issue of the notice), a reminder notice is issued, giving a further 28 days to deal with the matter. These notices are effectively identical to the initial Apparent Failure to Vote notice.

If the elector's reason for failing to vote is not accepted, a Final Notice will be issued giving two options: paying the penalty of \$55.00 or electing for the matter to be dealt with by a court. On 8 May 2017, 585 final notices were issued.

If no response to the reminder notice or final notice is received by the due date, the matters are referred to State Debt Recovery for enforcement action.

To help electors avoid this penalty in future elections, the penalty notice advises non-voters of the availability of the 'Voter Reminder Service'. Voters can be notified by email, text message, or both, and can receive both an early warning and a reminder.

# **Compliance Operation – State by-elections November 2016**

# **Overview and Objectives**

The NSWEC is responsible for ensuring compliance with and enforcement of the *Parliamentary Electorates and Elections Act 1912* (PEE Act) and the *Election Funding, Expenditure and Disclosures Act 1981* (EFED Act). The Funding, Disclosure and Compliance (FDC) Division oversees the enforcement functions.

Until 2014, the NSW Police Force was responsible for the investigation and enforcement of offences against the PEE Act. Where offences were suspected, Returning Officers and/or Polling Place Managers were responsible for their management and reporting. Significant breaches were reported to the NSW Police and or NSWEC's Elections Branch some time after the election. In December 2014, the NSWEC assumed responsibility for the investigation and enforcement of offences under the PEE Act.

In 2015, the FDC Division was restructured to include a new Compliance Unit, with investigators, auditors and an intelligence analyst with the power to investigate offences against the PEE and EFED Acts.

The By-election Compliance Operation was the first such operation by the new Compliance Unit. The operation provides an opportunity for the NSWEC to further its objective of establishing a stronger regulatory function.

**Table 3: Potential Offences under the EFED Act** 

Section	Offence	Penalty
s96AA	Third-party Campaigner – Failure to register and	\$44,000 or 2 years
s96I(1)	appoint an agent`	imprisonment or both

Table 4: Potential Offences under the PEE Act

Section	Offence	Penalty
s87A(2)	Obstruct mobile polling station	\$55
s90(4)	Offences by scrutineers	\$1100
s93(2)	Offences at Polling Place	\$550
s112(1)(d)	Multiple Voting	\$1100 or 3 years imprisonment
s113	Obstructing elector	\$55
s114(4)	Contravene direction of Returning Officer or Polling Place Manager	\$550
s114U	Scrutineer Offences	\$1100 or 3 years imprisonment
s150	Treating	\$11000 or 3 years
		imprisonment
s151	Intimidation	\$11000 or 3 years
		imprisonment
s151A	Printing false information	Corporation: \$5500
		Individual: \$1100 or 6 months
		imprisonment
s151B	Poster offences	\$330
s151E	Distribution of election material – Name,	\$1100 or 6 months
	address, author and printer details	imprisonment
s151F	Distribution of electoral material on polling day	\$1100 or 6 months
		imprisonment
s151H	Canvassing at polling place	\$550

#### **Execution**

The Compliance Operation took place from the opening of pre-poll on 31 October 2016 until election day. Six investigators from the NSWEC participated in the operation. In addition to their functions as inspectors under the EFED Act, they were also appointed to the role of Election Assistant under the PEE Act so that they could exercise functions under that Act throughout the operation.

Investigators visited the pre-polling venue in the electorate and made contact with various stakeholders, including the Returning Officer, Polling Place Managers, candidates and their campaigners or volunteers. The presence of the investigators served the dual purpose: of educating stakeholders in their obligations under the PEE Act and the EFED Act and acting as a deterrent to non-compliant behaviour.

Investigators also made enquiries concerning allegations of breaches of legislation. Across the three by-elections held on 12 November the most common allegations received involved:

- material that did not display the name and address of author and printer
- unregistered material being distributed on election day
- unregistered third-party campaigner.

In the case of allegations that electoral material did not display the name and address of author and printer, investigators identified the person responsible for the material, requested that the material be corrected or taken down, and either explained the legislation or issued an official warning. For allegations of unregistered material being distributed on election day, investigators verified that the material distributed on election day had in fact been registered.

Investigators dealt with unregistered third-party campaigners by identifying the person responsible for the material, assessing the content of the material and the amount spent on electoral communication expenditure (e.g. requested copies of invoices from vendors) to confirm whether or not the person was a third-party campaigner, and educating the responsible person about the legislation through communication and/or official warning.

# Comparison to 2015 State General Election and other by-elections

# **Turnout and Informality Rates**

In the 2015 NSW State Election there were five candidates for the Canterbury District. The number of electors enrolled was 55,787, the voter turnout figure was 89.68 per cent and the informality rate was 4.8 per cent.

At the November 2016 by-election, there were three candidates and the enrolment figure had increased to 57,112. The turnout and informality rates were 78.54 per cent and 4.6 per cent, respectively. Traditionally, turnout rates tend to be lower in by-elections than general elections and informality rates higher.

The turnout for the Canterbury by-election was lower compared with the average for State by-elections over the past five years, (85.7 per cent), and the informality rate higher than the average across the same period of 2.9 per cent.

# Non-voters and Penalty Notices<sup>2</sup>

There were 9,512 penalty notices (PNs) issued for the Canterbury by-election (16.65 per cent of those on the electoral roll). This is markedly higher than the Orange and Wollongong by-elections, both of which took place on the same date.

Table 5: Penalty Notices, Canterbury by-election 12 November 2016 frequency and percentages

By-election	No. on Roll	PNs issued	No. PN as % Roll
Canterbury	57,112	9,512	16.65
Orange	56,242	5,128	9.13
Wollongong	59,640	6,723	11.27

<sup>&</sup>lt;sup>2</sup> As noted earlier, a penalty notice is withdrawn if an elector provides a valid excuse for not voting. At the date of this report the enforcement process for failure-to-vote penalty notices for these by-elections had not yet been completed.

# **Appendices**

EL\_00-1773\_SEBYa\_Elector

# **Appendix A: Elector Brochure**

Bring this brochure with you on election day. It will make voting easier.

Here's where to vote on election day\* McCallums Hill Public School - McCallum Street Samoan Presbyterian Church Hall Lakemba -1003 Canterbury Road Kingsgrove North High School - Richland Street Immanuel Community Church Sydney - 67 Duntroon Avenue Undercliffe Public School - Bayview Avenue Our Lady Of Lourdes Church Hall Earlwood - St James Avenue Earlwood Public School - 396 Homer Street Earlwood Church of Christ Hall - 6 Burlington Avenue Clemton Park Public School - Miller Street Canterbury South Public School - France Street Canterbury Public School - Church Street St Johns Anglican Church Campsie - 26 Anglo Road Harcourt Public School - First Avenue Cass Activity Centre - 48 Sixth Avenue Campsie Public School - Harold Street Belmore South Public School - Nelson Avenue Belmore North Public School - 201 Burwood Road Belfield Uniting Church - 344-350 Punchbowl earlwood Uniting Church Hall - 16 William The Embassy Church Belmore - 76 Lakemba Street Hills North Public School - 1-3 Shorter 1, 3, 4, 5 1, 3, 4, 5 1, 3, 4 3, 4, 5 4, 5 2,4 **፞** Ģ Your guide to voting in Canterbury Legislative Assembly By-election Saturday, 12 November 2016 € electoral

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# This brochure contains important information about the election. It's particularly important to remember:

- Polling places will be open from 8am to 6pm on Saturday, 12 November 2016;
- Voting is compulsory for all enrolled electors in the electoral district of Canterbury; and;
- The penalty for not voting at the by-election is \$55

# Do I need to vote?

You have received this brochure because you are enrolled in the Legislative Assembly District of Canterbury. Voting is compulsory for all enrolled electors.

# electorate of Canterbury in the NSW Legislative Assembly. The full list of candidates is available at www.elections.nsw.gov.au or by calling us.

You will vote to elect a candidate to represent the

Who do I vote for?

Where can I vote?

On election day you can vote at the polling places listed in this brochure. Visit the NSWEC website for more details.

# Making political donations to a candidate or political party.

Did you know that if you make a political donation to a candidate or political party of \$1,000 or more you must report it to the NSW Electoral Commission at the end of the financial year? For more information visit www.elections.nsw.gov.au/canterbury/donors

What if I can't get to a polling place on election day? If you can't vote on election day you may be eligible to vote early.

# Pre-poll voting.

e-poll voting is available at the following locat

Fre-poll voting is available at the following locations:	valiable at the follow	wing locations:
Venue	Address	Opening times
	Shop 3-5,	31 Oct 2016 - 11 Nov 2016 Mon - Fri:
Canterbury Returning Officers' Office	300-306 Canterbury Road, Canterbury NSW 2193	8:00am - 6:00pm Thu (10 Nov) 8:00am - 8:00pm Sat: 9:00am - 5:00pm
Sydney Pre-poll	Level 25, 201 Kent Street, Sydney NSW 2000	31 Oct 2016 - 111 Nov 2016 Mon - Fri: 9:00am - 5:00pm Closed on Sat, Sun

# Vote via internet or telephone

People who are blind, vision impaired or who have another disability, live more than 20kms from the nearest polling place, or who will be outside NSW on election day, can apply to use the Note® system to vote via the internet or the telephone.

To register visit Note.nsw.gov.au or call 1300 2 Note (1300 24 86 83) or from outside Australia call +612 9290 5287. Registrations close at 1pm EDST on Saturday, 12 November 2016.

# Vote by post

ligible Postal voting is also available for eligible voters. Application forms are available from www.elections.nsw.gov.au or by

contacting the returning officer.

Applications must be received by the returning officer by 6.00pm Wednesday, 9 November 2016 if sent within Australia, or 6.00pm Monday, 7 November 2016 if sent from

Electors who are general postal voters will automatically be sent their ballot papers without further application.

# Can I get assistance in any other language?

For assistance in a language other than English please call 13 14 50.

Ελληνικά	हिंदी	中文	Tagalog	1,306.1	العربية	
Српски	ລາວ	Português	Русский	日本語	Italiano	
ไลหไ	فارسى	Македонски	Türkçe	Tiếng Việt	Bahasa Indonesia	
bil-Malti	Español	Hrvatski	iği,	한국오	Polski	

For more information: www.elections.nsw.gov.au or call 1300 135 736.

For hearing and speech impaired enquiries, call us via the National Relay Service on 13 36 77.

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# **Appendix B: Canterbury By-election 12 November 2016 Polling Places**

# Pre-poll voting venues

Venue	Address	Suburb
Canterbury Returning Officers' Office	Shop 3-5, 300-306 Canterbury Road	Canterbury
Sydney Pre-poll	Level 25, 201 Kent Street	Sydney

# **Declared Institutions**

Venue	Address	Suburb
Canterbury District Nursing Home	20 Albert Street	Campsie
Cass Aged Care Facility	44-50 Sixth Avenue	Campsie
Chow Cho Poon Nursing Home	113-115 Homer Street	Earlwood
Greek Community Hostel for the Aged	2 Woolcott Street	Earlwood
Regis Delphi House	27 Redman Parade	Belmore
Rosemore Residential Aged Care Facility	18 Kingsgrove Road	Belmore

# Voting venues

Venue	Address	Suburb
Belfield Uniting Church	344-350 Punchbowl Road	Belfield
Belmore North Public School	201 Burwood Road	Belmore
Belmore South Public School	Nelson Avenue	Belmore
Beverly Hills North Public School	1-3 Shorter Avenue	Beverly Hills
St Johns Anglican Church Campsie	26 Anglo Road	Campsie
Campsie Public School	Harold Street	Campsie
Canterbury District Nursing Home (DI)	20 Albert Street	Campsie
Canterbury Public School	Church Street	Canterbury
Canterbury Returning Officers' Office (PP)	Shop 3-5, 300-306 Canterbury Road	Canterbury
Canterbury South Public School	France Street	Canterbury
Cass Activity Centre	48 Sixth Avenue	Campsie
Cass Aged Care Facility (DI)	44-50 Sixth Avenue	Campsie
Chow Cho Poon Nursing Home (DI)	113-115 Homer Street	Earlwood
Clemton Park Public School	Miller Street	Earlwood
Earlwood Church of Christ Hall	6 Burlington Avenue	Earlwood
Earlwood Public School	396 Homer Street	Earlwood
Earlwood Uniting Church Hall	16 William Street	Earlwood
The Embassy Church Belmore	76 Lakemba Street	Belmore
Greek Community Hostel for the Aged (DI)	2 Woolcott Street	Earlwood
Harcourt Public School	First Avenue	Campsie
Immanuel Community Church Sydney	67 Duntroon Avenue	Hurlstone Park
Kingsgrove North High School	Richland Street	Kingsgrove

Voting venues (continued)		
Samoan Presbyterian Church Hall	1003 Canterbury Road	Lakemba
Lakemba		
McCallums Hill Public School	McCallum Street	Roselands
Our Lady Of Lourdes Church Hall	St James Avenue	Earlwood
Regis Delphi House (DI)	27 Redman Parade	Belmore
Rosemore Residential Aged Care Facility	18 Kingsgrove Road	Belmore
(DI)		
Sydney Pre-poll (PP)	Level 25, 201 Kent Street	Sydney
Undercliffe Public School	Bayview Avenue	Earlwood

DI denotes Declared Institution PP denotes pre-poll voting venue

# Appendix C: Canterbury By-election 12 November 2016 Ballot Paper

# Ballot Paper Legislative Assembly Election – Electoral District of Canterbury Held on 12 November 2016 DIRECTIONS FOR VOTING PLEASE READ CAREFULLY Write the number 1 in the square next to the candidate

- Write the number 1 in the square next to the candidate of your choice.
- You can show more choices, if you want to, by writing numbers in the other squares, starting with the number 2.
- Fold this ballot paper so your vote cannot be seen and place it in the ballot box (or in the envelope provided).

# CANDIDATES

KOUROUSHIS Branka CHRISTIAN DEMOCRATIC PARTY (FRED NILE GROUP)
BODELL Kristian THE GREENS
COTSIS Sophie

You must not take a ballot paper out of the polling place



# **Appendix D: NSWEC Election Service Charter 2014-16**

# Goal 1 - Foster participation in the democratic process

# To be achieved by

- Conducting impartial and fair elections in accordance with the law
- Providing services and information to enable all election participants to participate fully including communities with historically lower participation rates
- Communicating election information in ways that encourage awareness and engagement
- Supporting events and activities that encourage participation in democracy

# Success measured by

- Election participants report conduct of election as impartial and fair and without barriers to participation
- Election participation equals or exceeds prior election levels
- Formality rates equal or exceed prior election levels
- There are no Court challenges to declared results

# Goal 2 - Provide seamless service delivery

# To be achieved by

- Providing comprehensive services including:
  - o information services
  - o enrolment services
  - o voting options including pre-poll and technology enabled voting
  - location and accessibility of polling places
  - o services to assist those with particular needs
  - responsibilities and entitlements of candidates, groups and political parties including funding and disclosure requirements
  - Providing efficient services to meet needs of participants according to legislative mandate
- Providing election services in timely, efficient and professional manner

# Success measured by

- Stakeholders feel well informed about election events
- Election participants report satisfaction with the NSWEC's electoral services

# Goal 3 - Be customer focussed and commercially minded

## To be achieved by

Understanding the needs of our stakeholders and users of our election services through liaison,
 consultation and structured feedback

- Ensuring elections are provided on a competitive basis
- Delivering well organised, reliable and quality elections

# Success measured by

- Participants report satisfaction with NSWEC's services and election staff
- Those entities using the NSWEC to conduct elections report satisfaction with services provided
  and see these are representing value (Note this is not applicable in the case of State Government
  elections and by-elections, which must be conducted by the NSWEC.)
- Costs of providing elections are recovered (Note this is not applicable in the case of State Government elections and by-elections.)
- The NSWEC actively seeks feedback on its services

# **Goal 4 - Be innovative and fast adaptors**

# To be achieved by

- Utilising new technologies to increase convenience for those participating in elections within the legislative mandate while maintaining integrity of the election and security of information
- Review developments in election services and funding and disclosure across Australia and internationally for possible application to NSW

# Success measured by

- The NSWEC is seen as seeking to adapt its services, within legislative requirements, to meet participants' needs
- The NSWEC advises Government of innovations that will strengthen the democratic system and improve administration of elections